UNITED STATES PATENT APPLICATION

FOR

NON-CONTACT DEVICE AND METHOD FOR COLLAPSING HYBRIDIZATION SUBSTRATE

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DESCRIPTION

Field

[001] The present application relates to an apparatus and method for preparing a nonporous substrate with a porous layer for hybridization of an array.

Introduction

[002] Hybridization of various biological samples can utilize different types of test arrays. Test arrays for hybridization can include a grid of test sites within a bounded reaction well. Various capture reagents can flood the test array to support a reaction between various capture reagents in a hybridization fluid and various specific binding components. However, the flow of the hybridization fluid should be confined to a specific isolated area of a porous layer coupled to a nonporous substrate. This area can be bounded around a subset of individual test sites and/or around the entire test array. To create a boundary, porous material is typically removed around the test sites and/or test array. Typical removal methods contact the porous layer to effect removal of portions of the porous layer. This removal creates a boundary of the array and/or test sites that can act to restrict the flow of hybridization fluid. However, contact with the porous layer creates inefficiencies such as unpredictable transfer of residue from the nonporous layer to the removal device, thereby producing inconsistent results and introducing additional steps of frequent cleaning. It is desirable to treat a portion of the porous layer without contacting the porous layer.

[003] All patents, applications, and publications mentioned here and throughout the application are incorporated in their entireties by reference herein and form a part of the present application.

SUMMARY

[004] According to various embodiments, the present teachings can provide a method for preparing a substrate for hybridization, the method including positioning a porous layer on the substrate, and collapsing a moat in the porous layer without substantially contacting the porous layer, wherein the moat is adapted to bound a portion of the porous layer on which an array can be positioned.

[005] According to various embodiments, the present teachings can provide a method for manufacturing, including providing a substrate including a porous layer, wherein the porous layer is adapted for depositing an array, providing a pattern dimensioned for a moat, wherein the moat is adapted to bound the array, and collapsing the moat in the porous layer without substantially contacting the porous layer.

[006] According to various embodiments, the present teachings can provide a method for preparing a hybridization chamber, including providing a substrate including a porous layer with a moat collapsed without substantial contact to the porous layer, positioning an array on a portion of the porous layer bound by the moat, and positioning a gasket in the moat to provide a nonporous seal.

[007] According to various embodiments, the present teachings can provide an apparatus for preparing a hybridization substrate, including a press including a die adapted to collapse a moat in the porous layer, a mechanical stop to

provide a gap between the die and the porous layer, and a holder including a thermal path adapted to collapse the moat in the porous layer, wherein the gap is adapted to collapse the moat in the porous layer without substantially contacting the porous layer.

- [008] According to various embodiments, the present teachings can provide a press, including a die adapted to collapse a moat in a porous layer of a hybridization substrate, a mechanical stop to provide a gap between the die and the substrate, a holder including a thermal path adapted to collapse the moat in the porous layer, wherein the gap is adapted to collapse the moat in the porous layer without substantially contacting the porous layer.
- [009] According to various embodiments, the present teachings can provide a substrate for hybridization, including a porous layer, wherein the porous layer is adapted for depositing an array, and a moat in the porous layer, wherein the moat is collapsed without substantial contact to the porous layer.
- [010] According to various embodiments, the present teachings can provide an apparatus for preparing a substrate for hybridization including means for providing a nonporous moat in a porous layer on a substrate, wherein the porous layer is adapted for depositing an array.
- [011] According to various embodiments, the present teachings can provide a system for automated preparation of substrates for hybridization including a first linear actuator to position a press, wherein the press includes a die with a pattern and mechanical stop, wherein the die is mounted on a second linear actuator, and a third linear actuator to position a slide holder.

[012] Additional teachings of the invention will be set forth in part in the description which follows, and in part will be obvious from the description, or may be learned by practice of the invention. The teachings of the invention will be realized and attained by means of the elements and combinations particularly pointed out in the appended claims.

[013] It is to be understood that both the foregoing general description and the following detailed description are exemplary and explanatory only and are not restrictive of the invention, as claimed.

[014] The accompanying drawings, which are incorporated in and constitute a part of this specification, illustrate various embodiments of the invention and together with the description, serve to explain the principles of the invention.

BRIEF DESCRIPTION OF THE DRAWINGS

- [015] Fig. 1A illustrates a perspective view of an apparatus for preparing a hybridization substrate according to various embodiments;
- [016] Fig. 1B illustrates a perspective view of a slide holder according to various embodiments;
- [017] Fig. 1C illustrates a cross-sectional view of an apparatus for preparing a hybridization substrate according to various embodiments;
- [018] Fig. 2A illustrates a perspective view of an apparatus for preparing a hybridization substrate including a stripper according to various embodiments;
- [019] Fig. 2B illustrates a perspective view of an apparatus for preparing a hybridization substrate including an outside stripper and an inside stripper;

[020] Figs. 3A-3C illustrate a cross-sectional view of the hybridization substrate with a gasket according to various embodiments; and

- [021] Fig. 4 illustrates a perspective view of an automated apparatus for preparing a hybridization substrate include a stripper and spotter according to various embodiments.
- [022] It is to be understood that both the foregoing general description and the following detailed description are exemplary and explanatory only, and are intended to provide an explanation of various embodiments of the present teachings.

DESCRIPTION OF VARIOUS EMBODIMENTS

- [023] Reference will now be made in detail to the various embodiments of the invention, examples of which are illustrated in the accompanying drawings.

 Wherever possible, the same reference numbers will be used throughout the drawings to refer to the same or like parts.
- [024] The term "collapsing" grammatical variations thereof as used herein refers to treating a portion of a porous layer coupled to a substrate via heat applied in the proximity of the portion of the porous layer to be treated. Treating can include changing the state of the porous layer from solid to liquid at, for example, eutectic conditions and then changing the state of the porous layer back from liquid back to solid. This can include vaporizing a fraction of the portion of porous material treated.
- [025] The term "porous layer" as used herein refers to materials that exhibit absorption and adsorption qualities for a variety of fluid capture reagents that can be used in connection with the invention. The porous layer can be constructed of any material that is compatible with depositing the array, hybridization fluid, and assay

design. The porous layer can include materials such as NYLON, cellulose, nitrocellulose, gel, polymeric, or other porous membrane known in the art of polymer chemistry. The porous layer can be coupled to the substrate using an adhesive such as an epoxy or other bonding agent known in the art of adhesives. The porous layer can be non-luminescent to provide less background light to the luminescent of the hybridization array.

[026] The term "substrate" as used herein refers to a nonporous or non-absorbent material that can be the foundation for coupling the porous layer. The substrate can include material such as glass, fused silica, silicon, plastic, metal, ceramic, or polymeric. The substrate can be pretreated to facilitate coupling to the porous layer. Examples of substrates with nonporous layers include those in U.S. Publication Nos. 2002/0119559A1, 2002/0086307A1, and 2002/0019481A1. The substrate can have any shape including circular, triangular, rectangular, etc.

[027] The term "hybridization" as used herein refers to the process of forming a duplex between two members of specific binding pair. The specific binding pair is frequently complementary or partially complementary strands of a polynucleotide. It will be understood by those skilled in the art of molecular biology that the term "polynucleotide" as used herein includes analogs of naturally occurring polynucleotides and does not covey any limitation of the length of the polynucleotide. One of the polynucleotide strands may be immobilized on a solid substrate.

Polynucleotide strands used for hybridization can be labeled with a detectable marker to as facilitate the detection of duplexes. Examples of detectable markers can include, but are not limited to fluorescent dye, radioisotopes, enzyme, or other

markers. According to various embodiments, detection can be provided by a CCD camera that detects the detectable markers. Polynucleotide strands used for hybridization can be non-labeled. Hybridization of non-labeled binding pairs can be detected by surface plasmon resonance (SPR). Hybridization can be used for a variety of purposes, including understanding the structure-activity relationship between different materials, detecting and screening single nucleotide polymorphisms (SNPs), and sequencing an unknown material. The term "specific binding pair" refers to a pair of molecules that bind to one another with a specificity that is detectable above background levels of non-specific molecular interactions. Examples of specific binding pairs can include, but are not limited to antibodyantigen (or hapten) pairs, ligand-receptor pairs, biotin-avidin pairs, polynucleotides with complementary base pairs, nucleic acid binding proteins and cognate nucleic sequences, members of multi-protein complexes, and the like. Each specific binding pair can include two members, or additional compounds can specifically bind to either member of a given specific binding pair. The term "hybridization chamber" refers to the reaction volume and its container wherein the hybridization fluid reacts with the polynucleotides in the liquid phase or bound on porous layer.

[028] The term "array" as described herein refers to polynucleotides bound on a substrate to form a microarray. Microarrays can have densities of 4 binding sites per square millimeter or up to 10⁴ binding sites per square millimeter. Binding sites can be positioned on the porous layer by pin spotting, ink-jetting, photo-lithography, and other methods known in the art of high density deposition.

[029] The term "gasket" as used herein refers to gaskets, rings, or seals constructed of a non-porous material that can be used to substantially inhibit flow of liquids. The gasket can be angular or circular in overall shape and/or cross-section. The gasket can form a seal with the substrate thereby forming a boundary for the hybridization chamber. The gasket can be compressible to better form such seal. Compressible materials are known in the material science arts. According to various embodiments, the hybridization frame and/or the detection frame can be constructed of at least one elastomeric material chosen from Silicone Rubber, FDA approved Silicone Rubber, EPDM Rubber, Neoprame (CR) Rubber, SBR Rubber, Nitrile (NBR) Rubber, Butyl Rubber, Hypalon (CSM) Rubber, Polyurethane (PU) Rubber, Viton Rubber, and polydimethylsiloxane (Slygard™ elastomer by Dow Corning).

[030] According to various embodiments, as illustrated in Fig. 1A, apparatus 100 for preparing a hybridization substrate can include upper portion 120 and lower portion 140. According to various embodiments, upper portion 120 can include heat die 12. Heat die 12 can be made from any material that conducts heat, including various metals. Heat die 12 can include pattern 16 to focus the collapsing. Pattern 16 can be in the form of a rectangle (see Fig. 2A), but also can be in the form of a square, circle, triangle, or any form needed to bound the portion of the nonporous layer where the array is to be deposited. Heat die 12 can include thermally conductive material and at least one heating element (not shown) such as a resistive heater. Accordingly, heating element (not shown) can transfer heat to heat die which can transfer heat to pattern 16. According to various embodiments,

heat die 12 can acquire heat from another source, such as by thermal conductivity, through interaction with various heating elements (not shown).

According to various embodiments, upper portion 120 can include at [031] least one mechanical stop 14. Mechanical stop 14 can act as a spacer to stop the upper portion 120 from contacting the lower portion 140. According to various embodiments, upper portion 120 can be spaced from lower portion 140 a distance sufficient to prevent contact between pattern 16 and the porous layer on the upper surface of the substrate. According to various embodiments, lasers, electromechanical proximity switches, cameras, mechanical threads, and the like can be utilized instead of, or in addition to, mechanical stop 14 to provide a means to determine the proximity of upper portion 120 to lower portion 140 and/or as a means to ensure that pattern 16 is substantially parallel to slide 45. Electronics can then process such information and provide feedback to the mechanism driving upper portion 120 and/or lower portion 140, to ensure that pattern 16 does not substantially contact the porous layer by remaining a sufficient distance away from, and/or substantially parallel to, slide 45. According to various embodiments, a plurality of mechanical stops 14 can act as a leveler to assure vertical and/or horizontal alignment of pattern 16 with slide 45.

[032] According to various embodiments, lower portion 140 can include slide holder 18, pegs 20, and flex base 22. According to various embodiments, pegs 20 and slide holder 18 can be configured to receive slide 45. According to various embodiments, pegs 20 can be positioned upon slide holder 18 in a manner to orient

slide 45 in accordance with the requirements of the particular collapse of nonporous layer desired.

[033] According to various embodiments, as illustrated in Fig. 1B, slide holder 18 can include at least one conductive portion 26 and at least one non-conductive portion 28. Conductive portion 26 can conduct heat away from various portions of slide holder 18. Accordingly, more heat can be retained at non-conductive portion 28. This retention of heat facilitates the focus of heat on certain portions of slide 45, when placed upon slide holder 18. According to various embodiments, non-conductive portion 28 can comprise a shape roughly corresponding to the shape of pattern 16. Thus, as described below, heat from pattern 16 can radiate toward slide holder 18 and be substantially retained by non-conductive portion 28. According to various embodiments, slide holder 18 can include both conductive and non-conductive portions, conductive portions only, or non-conductive portions only.

[034] According to various embodiments, lower portion 140 can include flex base 22. Flex base 22 can provide adjustments to correct for possible misalignments between upper portion 120 and lower portion 140. According to various embodiments, flex base 22 can pivot in one or more directions. According to various embodiments, as illustrated in Fig. 1A, rib 30 can allow lower portion 140 to pivot in a first direction, and rib 32 can allow for lower potion 140 to pivot in a second direction perpendicular to the first direction. According to various embodiments, flex base 22 can include ribs (not shown) that can allow for pivots of various other directions. For example, flex base 22 can include a rib (not shown) oriented along a

diagonal axis (i.e., differing by approximately 45 degrees from either rib 30 or rib 32, or both).

[035] According to various embodiments, as illustrated in Fig. 1C, upper portion 120 can move toward lower portion 140. This movement can be accomplished by coupling upper portion 120 and/or lower portion 140 to a press (not shown). Operation of the press is well known to those skilled in the art and includes hydraulic, electric and/or mechanical action. According to various embodiments, slide 45 can include substrate 24, adhesive layer 44, and/or porous layer 42. Porous layer 42 can be the foundation upon which an array can be positioned. According to various embodiments, adhesive layer 44 can be coupled substrate 24 to porous layer 42 and can be made from an epoxy.

[036] According to various embodiments, moat 43 can be formed by collapsing a portion of porous layer 42 with heat radiated from pattern 16. According to various embodiments, as pattern 16 approaches slide 45, heat from pattern 16 can collapse porous layer 42 and/or adhesive layer 44 without substantial contact by pattern 16. According to various embodiments, heat from pattern 16 can be focused to a particular area by the use of non-conductive and/or conductive portions 28. Non-conductive portion 28 can allow for heat to remain while conductive portion 18 can remove heat from areas surrounding non-conductive portion 28. Accordingly, heat can remain on non-conductive portion 28 and in the portion of slide 45 adjoining non-conductive portion 28, which can facilitate collapsing of porous layer 42 and/or adhesive layer 44 to create moat 43. According to various embodiments, moat 43 can extend through the entirety of porous layer 42 and/or adhesive layer 44, or can

extend through a portion of each, or both as illustrated in Figs. 3A-3C. According to various embodiments, mechanical stop 14 can be utilized as a stop to limit the movement of pattern 16 with respect to slide 45 and/or lower portion 140. According to various embodiments, a sensor can be coupled to mechanical stop 14 to provide an electronic signal to the press to maintain the distance between upper portion 120 and lower portion 140.

[037] According to various embodiments, pattern 16 can collapse moat 43 without substantially contacting porous layer 42. Without substantially contacting can include de minimis contacting, for example contacting due to slight irregularities in porous layer 24 such at those caused by wrinkles or bubbles, contacting due to partial collapsing of moat due to misalignment, condensation of porous material on pattern 16 contacting porous layer 24.

[038] According to various embodiments, as illustrated in Fig. 2A, apparatus 100 can couple to stripper 34. According to various embodiments, stripper 34 can move along outside perimeter 43 of pattern 16 beyond end 42 of pattern 16 to facilitate removal of residue porous material that can adhere to outside perimeter 43 of pattern 16. According to various embodiments, movement of stripper 34 can be accomplished through the use of electric motors. According to various embodiments, stripper 34 can couple to heat die 12 using at least one rod 36.

[039] According to various embodiments, as illustrated in Fig. 2B, apparatus 100 can couple to outside stripper 38 and/or inside stripper 40. According to various embodiments, outside stripper 38 can move along outside perimeter 43 of

pattern 16 to facilitate removal of residue porous material from outside perimeter 43, as explained above. According to various embodiments, inside stripper 40 can move along inside perimeter 44 (Fig. 2A) of pattern 16 beyond end 42 (Fig. 2A) to facilitate removal of residue porous material from inside perimeter 44 (Fig. 2A). According to various embodiments, movement of outside stripper 38 and/or inside stripper 40 can be accomplished through the use of electric motors. According to various embodiments, outside stripper 38 and/or inside stripper 40 can couple to heat die 12 using at least one outside rod 48 and/or at least one inside rod 46.

- [040] According to various embodiments, apparatus 100 can be incorporated within a press. According to various embodiments, apparatus 100 with stripper 34, outside stripper 38, and/or inside stripper 40 can be incorporated within a press. Press can be operated by any means known to those skilled in the art, including hydraulic, electric, and/or mechanical mechanisms.
- [041] Example 1: a die assembly according to the teachings of this invention can be constructed using a heat die with dimensions of 3 cm to 9 cm.

 Pattern 16 can be rectangular in form, with dimensions of 3 cm by 3 cm, and 10 mm thick. The resulting bounded area can be a rectangle with dimensions of 3 cm by 3 cm. Heat die can be an aluminum cube with dimensions of 9 cm on each side. The mechanical stop can be located on the surface of heat die with the pattern and be 3 mm in diameter and 1 mm longer than the pattern.
- [042] According to various embodiments, a gasket 186 can be situated within moat 43 to act as a barrier to various liquids. According to various embodiments, gasket 186 can be adhered to various layers of slide 45. According to

various embodiments, the gasket can be held under pressure against various layers of slide 45.

[043] According to various embodiments, Figs. 3A-3C illustrate a crosssection of the substrate, porous layer and gasket. Figs. 3A-3C illustrate that slide 45 can include substrate 24, adhesive layer 44, and porous layer 42. The array 188 binds to nonporous layer 42. Porous layer 42 can sprayed on, laminated on, deposited on via chemical vapor deposition, or deposited on via electrostatic deposition on the substrate 24 and adhesive layer 44. The adhesive layer 44 can be hydrophobic to seal the hybridization chamber, such as a pressure sensitive acrylic adhesive. Gasket 186 can be adhered to the slide 45 via its own adhesive or by using the adhesive properties of adhesive layer and/or porous layer. According to various embodiments, Fig. 3A illustrates gasket 186 adhered to the interface of porous layer 42 and adhesive layer 44. According to various embodiments, Fig. 3B illustrates gasket 186 adhered to adhesive layer 44. According to various embodiments, Fig. 3C illustrates gasket 186 adhered to the interface of adhesive layer 44 and substrate 24. According to various embodiments, each of these adhesion contacts provides a seal for a boundary of the hybridization chamber.

[044] According to various embodiments, apparatus 100 can be part of an automated array printer. According to various embodiments, apparatus 100 can be incorporated into a robotic platform designed to prepare hybridization substrate and position arrays in an automated fashion.

[045] According to various embodiments, as illustrated in Fig. 4, the collapsing process can be automated by system 300 for several slides 45 on slide

20. Press 200 can include linear actuator 270. Each slide can include alignment pegs 20. Press 200 can include linear actuator 205 with mounting plate 210. Heat die 12 can be mounted on mounting plate 210. The linear actuator 260 can position press 200 and linear actuator 270 can position slide holder 18 to align pattern 16 with slide 45. The linear actuator 205 can approach slide holder 18 until mechanical stop 14 contacts slide 45. Mechanical stop 45 can be coupled to a sensor to provide an electrical signal to stop linear actuator 205 so that pattern 16 collapses the moat without substantially contacting the porous layer. Press 200 can include a camera 215 to control the linear actuator and/or provide image information related to the collapsing. According to various embodiments, system 300 can include an array spotter 220 with a linear actuator 225 to position spotting head 250 so that tips 230 can deposit the array on the porous layer of slide 45. Spotter 220 can include camera 240 to provide image information related to proximity of spotting the array relative to the moat.

[046] For the purposes of this specification and appended claims, unless otherwise indicated, all numbers expressing quantities of ingredients, percentages or proportions of materials, reaction conditions, and other numerical values used in the specification and claims, are to be understood as being modified in all instances by the term "about." Accordingly, unless indicated to the contrary, the numerical parameters set forth in the following specification and attached claims are approximations that may vary depending upon the desired properties sought to be obtained by the present invention. At the very least, and not as an attempt to limit the application of the doctrine of equivalents to the scope of the claims, each

numerical parameter should at least be construed in light of the number of reported significant digits and by applying ordinary rounding techniques.

[047] Notwithstanding that the numerical ranges and parameters setting forth the broad scope of the invention are approximations, the numerical values set forth in the specific examples are reported as precisely as possible. Any numerical value, however, inherently contains certain errors necessarily resulting from the standard deviation found in their respective testing measurements. Moreover, all ranges disclosed herein are to be understood to encompass any and all subranges subsumed therein. For example, a range of "1 to 10" includes any and all subranges between (and including) the minimum value of 1 and the maximum value of 10, that is, any and all subranges having a minimum value of equal to or greater than 1 and a maximum value of equal to or less than 10, e.g., 5.5 to 10.

It is noted that, as used in this specification and the appended claims, the singular forms "a," "an," and "the," include plural referents unless expressly and unequivocally limited to one referent. Thus, for example, reference to "a monomer" includes two or more monomers.

[048] Other various embodiments of the invention will be apparent to those skilled in the art from consideration of the specification and practice of the invention disclosed herein. It is intended that the specification and examples be considered as exemplary only, with a true scope and spirit of the invention being indicated by the following claims.